

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

USPTO 15364 U.S. PTO 10/817355  
040104  
I hereby certify that this paper and the documents and/or fees referred to as attached herein are being deposited with the United States Postal Service on April 1, 2004 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EV 381562934 US, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Attorney Docket No. LAM2P472

First Named Inventor: WOODS et al.

Signed: 

Sylvia Castillo

UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))  
(Continuation, Divisional or Continuation-in-part application)

Commissioner for Patents  
Mail Stop: Patent Applications  
Alexandria, VA 22313-1450

☐ Duplicate for  
fee processing

Sir: This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventors:  
Carl Woods, Michael G.R. Smith and John Parks

For: SUBSTRATE PROXIMITY PROCESSING STRUCTURES AND METHODS FOR USING AND  
MAKING THE SAME

This application is a ☐ Continuation ☐ Divisional ☒ Continuation-in-part  
of prior Application No.: 10/261,839, filed September 30, 2002 from which priority under 35 U.S.C.  
§120 is claimed; and

Application Elements:

- ☒ 54 Pages of Specification, Claims and Abstract
- ☒ 17 Sheets of **Informal** Drawings
- ☒ 02 Pages of Combined Declaration and Power of Attorney
  - ☒ Newly executed (original or copy)
    - ☐ Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).  
The entire disclosure of the prior application from which a copy of the declaration is  
herein supplied is considered as being part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.
    - ☐ Deletion of inventors Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

Accompanying Application Parts:

- ☒ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- ☐ Combined Statement Under 37 C.F.R. §3.73(b) Establishing Right of Assignee to Take Action  
and Power of Attorney by Assignee of Entire Interest and Revocation of Prior Powers
  - ☐ Appendix A ☐ Appendix B ☐ Appendix C
- ☒ Information Disclosure Statement
  - ☒ PTO-1449 ☒ Copies of IDS Citations (Foreign References)
- ☐ Preliminary Amendment (*New claims numbered after highest original claim in prior  
application.*)
- ☒ Return Receipt Postcard
- ☒ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
- ☐ Other: \_\_\_\_\_

Extension of Time for Prior Pending Application

☐ A Petition for Extension of Time is being concurrently filed in the prior pending application. A copy of the Petition for Extension of Time is attached.

☐ Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (*At least one original independent claim must be retained.*)

Fee Calculation (37 CFR § 1.16)

	(Col. 1) NO. FILED	(Col. 2) NO. EXTRA	SMALL ENTITY RATE FEE	OR	LARGE ENTITY RATE FEE
BASIC FEE			\$385 \$	OR	\$770 \$770.00
TOTAL CLAIMS	30 -20 = 10		x09= \$	OR	x18 = \$180.00
INDEP CLAIMS	05 -03 = 02		x43 = \$	OR	x86 = \$172.00
[ ] Multiple Dependent Claim Presented			\$145= \$	OR	\$290 = \$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total \$	OR	Total \$1,122.00

☒ Check No. 10751 in the amount of \$1,162.00 is enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0805 (Order No. LAM2P472).

Request for Small Entity Status

☐ Applicant is entitled to small entity status.

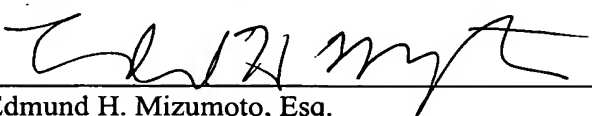
General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0805.(Order No. LAM2P472)

☒ Please send correspondence to the following address:

Edmund H. Mizumoto, Esq.  
MARTINE & PENILLA, LLP  
710 Lakeway Drive, Suite 170  
Sunnyvale, CA 94085  
Customer Number 25920

Date: April 1, 2004

  
Edmund H. Mizumoto, Esq.  
Reg. No. 46,938

PATENT

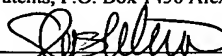
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of	)	
WOODS et al.	)	Examiner: Unassigned
	)	
Application No. [To be assigned]	)	Art Unit: Unassigned
	)	
Filed: April 1, 2004	)	Docket No. LAM2P472
	)	
For: SUBSTRATE PROXIMITY PROCESSING	)	Date: April 1, 2004
STRUCTURES AND METHODS FOR USING	)	
<u>AND MAKING THE SAME</u>	)	

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Signed: \_\_\_\_\_

  
Sylvia Castillo

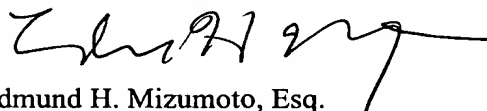
REQUEST AND CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)(B)(i)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

Respectfully submitted,  
MARTINE & PENILLA, LLP



Edmund H. Mizumoto, Esq.  
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